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**M. Imtiaz Mazumder, Ph.D.**  
**Professor**  
**Finance**  
**College of Business**  
[MazumderImtiaz@sau.edu](mailto:MazumderImtiaz@sau.edu)

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### **Academic Background**

Ph.D. University of New Orleans, 2004.  
M.S. University of Dhaka, 1995.  
B.S. University of Dhaka, 1993.

### **Professional Memberships**

Academy of Financial Services (AFS), 2019  
Academy of Financial Services (AFS), 2016  
Financial Management Association (FMA), 2013-2023  
Association for Economic & Development Studies of Bangladesh (AEDSB), 2013 - to date  
Eastern Finance Association (EFA), 2012-2014  
Academy of Financial Services (AFS), 2012-2013  
Eastern Finance Association (EFA), 2009  
Emerald Literati Network, 2008 - to date  
Midwest Finance Association (MFA), 2008  
Academy of Financial Services (AFS), 2006  
Financial Management Association (FMA), 2006  
Academy of Financial Services (AFS), 2003  
Financial Management Association (FMA), 2003  
Southern Finance Association (SFA), 2003

### **Skills**

#### Teaching Skills

Teaching: Investment, Corporate Finance, Financial Institutions, International Finance

#### Research Skills

Research: Mutual Funds, ETFs, Hedge Funds, International Investment/Financial Markets, Market Efficiency and Behavioral Finance, Portfolio Performance Measure

#### Computer Skills

Statistics and Econometrics: TSP, RATS, SPSS, EVIEWS Spread Sheet: Excel, Access  
Financial Databases: CRSP (Center for Research in Security Prices), COMPUSTAT, Stockval, Telemet Orion, Thomson Reuter Baseline, Moody's Default Risk Services (DRS) and Bond Rating, S&P Capital IQ, Bloomberg, EMDb, DataStream, Tickdata, IFS, FTS (Financial Trading System), SIRCA and numerous Mutual Fund databases such as Morningstar, CDA Wiesenberger, Lipper, Dial Data etc.

AACSB: Sedona (worked as Sedonamaster and responsible for uploading and reporting AACSB related data at SUNY Polytechnic Institute). Currently involved with the team to implement/develop Sedona at the COB of St. Ambrose University Teaching Related: Angel, Blackboard, WebCT, Camtasia, Elluminate, McGraw-hill Connect, Mediasite, WebEx, Zoom, Ultra Collaborate

#### Languages

English and Bengali

#### International Interests

United States of America, Asia, Europe, New Zealand

#### Work Experience

#### **Work Experience**

Professor of Finance, St. Ambrose University (August, 2019 - Present), Davenport, Iowa.  
Associate Professor of Finance, St. Ambrose University (August, 2014 - July, 2019), Davenport, Iowa.  
Assistant Professor of Finance, St. Ambrose University (August, 2011 - July, 2014), Davenport, Iowa.  
Assistant Professor of Finance, SUNY Polytechnic Institute (August, 2007 - July, 2011), Utica, New York.  
Adjunct Instructor, Weber State University, (January, 2007 - July, 2007), Ogden, Utah.  
Senior Lecturer of Finance, Auckland University of Technology (September, 2004 - December, 2006), Auckland, New Zealand. Adjunct Assistant Professor, Dillard University (August, 2002 - May, 2004), New Orleans, Louisiana.

Graduate Research/Teaching Assistant, University of New Orleans (August, 1998 - May, 2002), New Orleans, Louisiana. Lecturer, Shahajalal University of Science and Technology (June, 1996 - July, 1998), Sylhet, Bangladesh. Young Economist, Bangladesh Rural Advancement Committee (BRAC) (January, 1996 - June, 1996), Dhaka, Bangladesh. Probationary Officer, Bank of Small Industries and Commerce Ltd, Bangladesh (June, 1995 - October, 1995), Cumilla, Bangladesh.

## Teaching

### **Courses Taught**

**Courses from the Teaching Schedule:** CORPORATE FINANCE, FINANCIAL EVALUATION- CORP, FINANCIAL INSTITUTIONS, MANAGEMENT OF FINANCIAL INSTITUTIONS, STATISTICS IN BUSINESS AND ECONOMICS

Courses taught, but not in the Schedule:

St. Ambrose University (2011 - now): Graduate (MBA & MFIN): MBA 675: Financial Management; MFIN 607: Fixed Income and Alternative Investments; MFIN 609: Advanced Fund Management;

Undergraduate: FNCE 312: Corporate Finance; FNCE 313; Financial Institutions; STBE 137: Quantitative Reasoning in Business & STBE 237: Statistics for Business and Economics

SUNY Polytechnic Institute (2007-2011): Graduate (MBA): Investment Strategy; Seminar in Accounting & Finance

Undergraduate: Financial Management Principle; Financial Institutions and Market; Management Science

Weber State University (2007): Graduate (MBA): Managerial Economics

Undergraduate: Business Statistics

Auckland University of Technology (2004-2006): Graduate (MBA): Investment and Portfolio Analysis

Undergraduate: Fundamentals of Finance; Investment and Portfolio Analysis

Dillard University (2002-2004): Undergraduate: Quantitative Methods for Business; Foundations of Statistics; Mathematics for

Economists; General Economics; Managerial Economics; Principles of Micro and Macroeconomics University of New Orleans (2000-2002): Undergraduate: Financial Foundations for Management; Principles of Financial Management

Tutor: EMBA Health Care Finance

Shahajalal University of Science & Technology (1996-1998): Business and Finance; Theory of Public Finance; Political Economy;

Microeconomics

### **Teaching Activities**

#### Summer Course Instruction

2019 - STBE237. 5 students. (Summer)

2019 - STBE237. 8 students. (Summer)

2018 - STBE237. 1 student. (Spring)

#### Intellectual Contributions

Intellectual Contributions Grid

Category	BDS	AIS	TLS	Total
Articles in Refereed Journals	11			11
Articles-in-Progress (All)	3			3
Presentations of Refereed Papers	32			32

**Refereed Articles**Basic or Discovery Scholarship

- Fan, Y., & Mazumder, M. Imtiaz (2017). CFA, MBA or Both: Further Evidence on Mutual Fund Performance. *Journal of Accounting and Finance*, 17 (7), 140-158.
- Mazumder, M. Imtiaz (2014). Investing in Exchange Traded Funds. *Applied Finance Letters*, 3 (2) , 16-23.
- Prather, L. J., & Chu, T. (2011). Indexing Institutional Funds. *Journal of Index Investing*, 2 (3), 58-63.
- Mazumder, M. Imtiaz, Miller, E. M., & Varela, O. A. (2010). Market Timing the Trading of International Mutual Funds: Weekend, Weekday and Serial Correlation Strategies. *Journal of Business Finance & Accounting*, 37 (7-8), 979-1007.
- Mazumder, M. Imtiaz, & Ahmad, N. (2010). Greed, Financial Innovation or Laxity of Regulation? A Close Look into the 2007-09 Financial Crisis and Stock Market Volatility. *Studies in Economics and Finance*, 27 (2), 110-134.
- Prather, L. J., & Chu, T. (2009). Index Funds or ETFs: The Case of the S&P500 for Individual Investors. *Financial Services Review*, 18 (3), 213-230.
- Miller, E. M., Prather, L. J., & Mazumder, M. Imtiaz (2008). Cross Autocorrelations among Asset Classes: Evidence from the Mutual Fund Industry,. *Managerial Finance*, 34 (11), 756-771.
- Mazumder, M. Imtiaz, Chu, T., Miller, E. M., & Prather, L. J. (2008). International Day-of-the-Week Effect: An Empirical Examination of iShares. *International Review of Financial Analysis*, 17 (4) , 699-715.
- Mazumder, M. Imtiaz, Miller, E. M., & Naka, A. (2008). Trading Strategies of the U.S.-based International Mutual Funds and Test of the SEC Regulation. *Journal of Financial Regulation and Compliance*, 16 (2), 142-158.
- Chu, T., Mazumder, M. Imtiaz, Miller, E. M., & Prather, L. J. (2007). Exploitable Cross-autocorrelations among iShares. *Financial Services Review*, 16 (4), 293-308.
- Miller, E. M., Prather, L. J., & Mazumder, M. Imtiaz (2003). Day-of-the-Week Effects among Mutual funds. *Quarterly Journal of Finance and Accounting*, 42 (3-4), 113-128.

**Presentations of Refereed Papers**International

- Fan, Y. & Mazumder, M. Imtiaz (2017). *CFA Designation and Mutual Fund Performance: Further Evidence*. Financial Management Association (FMA), Boston, Massachusetts.
- Prather, L. J., Chu, T., Mazumder, M. Imtiaz, & Topuz, J. C. (2009). *Index Funds or ETFs: The Case of the S&P 500 for Individual Investors*. Eastern Finance Association (EFA), Washington, District of Columbia.
- Chu, T., Mazumder, M. Imtiaz, Miller, E., & Prather, L. (2006). *Exploitable Cross Autocorrelations among iShares*. Asian Financial Management Association, Auckland, New Zealand.
- Chu, T., Mazumder, M. Imtiaz, Miller, E., & Prather, L. (2006). *Exploitable Cross Autocorrelations Among iShares*. Financial Management Association (FMA), Salt Lake City, Utah.
- Mazumder, M. Imtiaz, Chu, T., Miller, E., & Prather, L. (2006). *International Day-of-the-week Effects: An Empirical Investigation of iShares*. Financial Management Association (FMA), Salt Lake City, Utah.
- Mazumder, M. Imtiaz, Chu, T., Miller, E., & Prather, L. (2006). *International Day-of-the-Week Effects: An Empirical Examination of iShares*. Eastern Finance Association (EFA), Philadelphia, Pennsylvania.
- Chu, T., Mazumder, M. Imtiaz, Miller, E., & Prather, L. (2005). *Exploitable Cross Autocorrelations among iShares*. Northern Finance Association (NFA), Vancouver, Canada-British Columbia.
- Mazumder, M. Imtiaz, Chu, T., Miller, E., & Prather, L. (2005). *International DayoftheWeek Effects: An Empirical Examination of iShares*. Australian Finance and Banking Conference, Sydney, Australia.
- Mazumder, M. Imtiaz & Miller, E. (2004). *Weekend Trading Profitability: Evidence from the US-Based International Open-End Mutual Funds*. Financial Management Association (FMA), New Orleans, Louisiana.
- Mazumder, M. Imtiaz, Miller, E., & Naka, A. (2004). *Another Look at the Predictability of the US-Based International Open-End Mutual Fund Returns*. Financial Management Association (FMA), New Orleans, Louisiana.

National

- Mazumder, M. Imtiaz (2019). *Day-of-the-Week Effects in Virtual Currency Markets: Evidence from Bitcoin*. Academy of Financial Services (AFS), Minneapolis, Minnesota.
- Fan, Y. & Mazumder, M. Imtiaz (2016). *CFA Designation and Mutual Fund Performance*. Academy of Financial Services (AFS), Las Vegas, Nevada.
- Mazumder, M. Imtiaz (2013). *Performance Measurement and Market Condition: Evidence from Trading Exchange Traded Funds (ETFs)*. Academy of Financial Services (AFS), Chicago, Illinois.
- Mazumder, M. Imtiaz & Ahmad, N. (2012). *Timing the Retirement Annuity Funds over Business Cycles: Evidence from the TIAA-CREF*. Academy of Financial Services (AFS), San Antonio, Texas.
- Langdon, W., Dube, S., & Mazumder, M. Imtiaz (2010). *The Use of Industrial Characteristics in the Prediction of Long Term Industrial Bond Performance*. Northeast Business & Economics Association (NBEA), Montclair, New Jersey.
- Prather, L., Chu, T., Mazumder, M. Imtiaz, & Topuz, J. (2008). *Index funds or ETFs: the case of the S&P 500*. Midwest Finance Association (MFA), San Antonio, Texas.
- Prather, L., Chu, T., Mazumder, M. Imtiaz, & Topuz, J. (2007). *Index funds or ETF's: The Case of the S&P 500*. Academy of Financial Services (AFS), Orlando, Florida.
- Chu, T., Mazumder, M. Imtiaz, Miller, E., & Prather, L. (2006). *Exploitable Cross Autocorrelations among iShares*. Midwest Finance Association (MFA), Chicago, Illinois.
- Mazumder, M. Imtiaz, Chu, T., Miller, E., & Prather, L. (2006). *International Day-of-the-week Effects: An Empirical Investigation of iShares*. Academy of Financial Services (AFS), Salt Lake City, Utah.
- Mazumder, M. Imtiaz, Chu, T., Miller, E., & Prather, L. (2006). *International Day-of-the-week Effects: An Empirical Investigation of iShares*. Midwest Finance Association (MFA), Chicago, Illinois.

Chu, T., Mazumder, M. Imtiaz, Miller, E., & Prather, L. (2005). *Exploitable Cross Autocorrelations among iShares*. Academy of Financial Services (AFS), Chicago, Illinois.

Mazumder, M. Imtiaz, Miller, E., & Naka, A. (2005). *Predictability and Trading Strategies of the US-based International Mutual Funds*. New Zealand Finance Colloquium, Wellington, New Zealand.

Mazumder, M. Imtiaz & Miller, E. (2004). *The Weekend Trading Profitability: Evidence from International Mutual Funds*. Academy of American Accounting and Finance (AAAF), New Orleans, Louisiana.

Mazumder, M. Imtiaz & Miller, E. (2003). *Weekend Predictability and Trading Profitability: Evidence from the US-based International Mutual Funds*. Academy of Financial Services (AFS), Denver, Colorado.

Mazumder, M. Imtiaz & Miller, E. (2003). *Another Look at the Predictability of the US-based International Mutual Funds*. Academy of Financial Services (AFS), Denver, Colorado.

Miller, E., Prather, L., & Mazumder, M. Imtiaz (2003). *Cross Autocorrelations Among Asset Classes: Evidence from the Mutual Fund Industry*. Academy of Financial Services (AFS), Denver, Colorado.

Miller, E., Prather, L., & Mazumder, M. Imtiaz (2003). *Cross Autocorrelations among Asset Classes: Evidence from the Mutual Fund Industry*. Southern Finance Association (SFA), Charleston, South Carolina.

Miller, E., Prather, L., & Mazumder, M. Imtiaz (2002). *Day-of-the-Week Effects among Mutual funds*. Academy of Financial Services (AFS), San Antonio, Texas.

Hassan, K. & Mazumder, M. Imtiaz (2000). *Islamic Finance and Economic Stability: An Econometric Analysis*. Fourth Harvard University Forum on Islamic Finance, Cambridge, Massachusetts.

#### Regional

Mazumder, M. Imtiaz (2020). *Performance Measurement and Market Condition: Evidence from Trading Exchange Traded Funds (ETFs)*. Academy of Finance (AoF), Chicago, Illinois.

Prather, L., Chu, T., Mazumder, M. Imtiaz, & Topuz, J. (2009). *Index funds or ETFs: The case of the S&P500 for individual investors*. Southwestern Finance Association (SWFA), Oklahoma City, Oklahoma.

Miller, E., Prather, L., & Mazumder, M. Imtiaz (2004). *Cross Autocorrelations among Asset Classes: Evidence from the Mutual Fund Industry*. Southwestern Finance Association (SWFA), Orlando, Florida.

#### Working Papers

Mazumder, M. Imtiaz (2021). "Timing the Retirement Annuity Funds over Business Cycles: Evidence from the TIAA-CREF."

Mazumder, M. Imtiaz (2020). "Performance Measurement and Market Conditions: Evidence from Trading Exchange Traded Funds (ETFs)."

Mazumder, M. Imtiaz (2019). "Seasonal Anomalies and Market Efficiency in Virtual Currency Market: Evidence from Bitcoin."

#### **Other Research**

2012: Ahmad, N., & Mazumder, M. Imtiaz, *The Return of Depression Economics and the Crisis of 2008*, *Eastern Economic Journal* 38(4), pp. 552-54.

2009: Ahmad, N., & Mazumder, M. Imtiaz, *Psychology of Investing*, *Journal of Economic Psychology*, 30(1), pp. 113-114.

2005: Mazumder, M. Imtiaz, & Miller, E., *Is Academic Finance Research of Any Value?* *Journal of Social Political and Economic Studies* 30(1), pp. 79-98.

2001: Miller, E., & Mazumder, M. Imtiaz, *The Internet Bubble Update*, *Journal of Social Political and Economic Studies* 26(4), Winter, pp. 683-89.

2001: Miller, E., & Mazumder, M. Imtiaz, *Monopoly Politics*, *Journal of Social, Political and Economic Studies* 26(1), Spring, pp. 373-75.

#### Service

##### **Service to the Institution**

#### Department Assignments

##### Faculty Advisor:

2014-2015 – 2020-2021: Finance Club, Student Government Association of St. Ambrose University  
2012-2013 – 2020-2021: Undergraduate Finance Students  
2017: Examiner of Internship in Business for Deandre Washington  
2014: Examiner of Internship in Business for Matthew Sabers  
2011-2012: Study Abroad Regional Expert (SARE) for New Zealand, Center for International Education

##### Member:

2014: Accounting Chair Search Committee

#### Department Assignments

##### **Member:**

2009: Accounting Faculty Search Committee

#### Department Assignments

##### Member:

2017-2018: Accounting Faculty Search Committee  
2013-2014: Finance Faculty Search Committee  
2012: International Studies Advisory Board  
2012: Asia Studies Coordinating Council (ASCC), Center for International Education

#### Department Assignments

**Member:**

2005-2006: Liaison faculty from the Department of Finance for University Library

Department Assignments**Member:**

2012-2013 – 2014-2015: Masters of Finance (MFin) Curriculum and Assessment Committee

2013-2014: Middle East Institute Planning Group, Center for International Education

College Assignments**Faculty Advisor:**

2020: Delta Mu Delta International Honor Society – St. Ambrose University College of Business Chapter (pending approval)

**Member:**

2019-2020 – 2020-2021: Customer and Market Roots Group

Chair:

2013: (Co-Chair) Measurement and Analysis of Student Learning and Performance, Accreditation Council for Business Schools and Programs (ACBSP) Standard #4 for College of Business

College Assignments

**Member:**

2007-2008 – 2010-2011: AACSB Learning Assurance Committee

College Assignments

**Member:**

2020-2021: COB Sedona Team

2019-2020 – 2020-2021: Customer & Market Root Group

2017: COB Strategic Planning Committee (Curriculum Sub-Committee)

2016-2017: COB Scholarship/Research Committee

2015-2016: COB "Business Week" Committee

2013-2014: COB Dean Search Committee,

College Assignments

**Member:**

2007-2008 – 2009-2010: Database/Instructional Resources Committee

2007-2008 – 2009-2010: Graduate Curriculum Committee

College Assignments

**Member:**

2020-2021: Professional Development Center (PDC) Advisory Committee 2016-2017: MBA Director Search Committee

2014-2015 – 2015-2016: International Committee/Taskforce, College of Business 2013-2014: MBA Faculty Council, College of Business

2011-2012 – 2012-2013: MBA Admission Committee

College Assignments

**Member:**

2009-2010: Research Committee

College Assignments

**Member:**

2019-2020 – 2020-2021: Strategic Leadership Team (SLT)

2015: Vernon Marketing Research findings to investigate the "MBA Market Opportunities" for the College of Business of St. Ambrose University.

University Assignments

**Chair:**

2020-2021: Promotion, Tenure and Standards

Committee Chair:

2020-2021: Faculty Committee on Promotion, Tenure and Standards (PTS)

Committee Member:

2019-2020 – 2020-2021: Executive Advisory Ad Hoc Committee, Faculty Assembly 2018-2019 – 2020-2021: Faculty Committee on Promotion, Tenure and Standards (PTS) 2017-2018: Faculty Committee on Educational Policy

University Assignments

**Committee Member:**

2007-2008 – 2009-2010: Graduate Council

University Assignments

**Committee Member:**

2012-2013 – 2014-2015: Woodrow Wilson Visiting Fellows Committee

2012-2013 – 2014-2015: University Faculty Committee on General Education

University Assignments

**Faculty Advisor:**

2007-2008 – 2010-2011: SUNYIT Cricket Club

## University Assignments

### **Member:**

2019-2020: Promotion, Tenure and Standards

## Dissertation Assignments

### **Chair:**

2006: Faculty Advisor for Masters of Business (MBus) Dissertation of Uday Vichare: "Comparative Responsiveness of NZ Property Market Segments towards Relevant Demand & Supply Factors", Auckland University of Technology, New Zealand.

### **Member:**

2006: Examiner for MBus Dissertation of Mr. Zoltan Toth: "Difficulties in Value Creation: Telecom New Zealand's Acquisition of AAPT Ltd", Auckland University of Technology, New Zealand.

## Dissertation Assignments

### **Member:**

2016-2017: External Examiner for DBA Thesis of Gordon France: "CEO Performance, Organizational Characteristics and Shareholder Value: Evidence from BXT Corporation", University of Liverpool, UK.

## Dissertation Assignments

### **Member:**

2008: External Examiner for Ph.D. Dissertation of Hardjo Koerniadi: "Accruals: Signaling or Misleading? Evidence from New Zealand", Auckland University of Technology

## Dissertation Assignments

### **Member:**

2005: External Examiner for Ph.D. Dissertation of Ms. Wei-Heui Hsu: 'The Interrelationships between Rating Agencies, Banks and Investors', Massey University, New Zealand.

## Dissertation Assignments

### **Member:**

2016: External Examiner for Ph.D. thesis of Sheo Huey Neo: "Options and Warrants on the Hong Kong Exchange: Pricing Process and Credit Risk", University of Canberra, Australia

## Thesis Assignments

### **Faculty Advisor:**

2019-2020: Faculty Advisor of Cartee Cole for his Honors 301 Research Project on "The Effects of the Recession on the Financial and Nonfinancial Health of Middle Class Families in the Quad Cities"

## Service to the Profession

### Academic Conference: Discussant

2020: Financial Management Association (FMA), New York City, New York (International). Session 454 (Undergraduate Finance Education), Friday, October 23, 3:00 - 4.30 pm: "Enhancing the Student Managed Investment Fund Learning Experience" by John Cresson.

2018: Financial Management Association (FMA), San Diego, California (International). Session 261 (Pensions & Retirement), Saturday, 8:30 - 10:00: 'Outsourcing Equity Funds and Reputation Benefits: The Case of Korean National Pension Fund' by Miyou Paek, Yong H Kim, & Kwangsoo Ko.

2017: Financial Management Association (FMA), Boston, Massachusetts (International). Session 127 (Hedge Fund Activism 1), Friday, 13 October 8:30 am - 10:00 am: 'Third-Party Reaction to Hedge Fund Activism: Auditor's Perspective' by Huimin (Amy) Chen, Bill Francis, Yinjie (Victor) Shen, & Qiang Wu.

2016: Financial Management Association (FMA), Las Vegas, Nevada (International). Session 180 (The Market for Managerial Talent), Friday, 10:15 am - 11.45 am: 'A Market for Lemons in Mutual Fund Management' by Michael Gibbs, Andrew A Lynch, Khaled Obaid, & Kuntara Pukthuanthong.

2015: Financial Management Association (FMA), Orlando, Florida (International). Session 227 (Investor Behavior), Saturday, October 17, 8:30 am - 10:00 am: 'Tax-Induced Trading: The Effect of Capital Gain Tax Changes' by Anna Agapova & Nik Volkov.

2014: Eastern Finance Association (EFA), Pittsburgh, Pennsylvania (International). Session G.4 (Mutual Funds), Friday, April 11, 2014 10:15 - 11:45am: 'Managerial Investment in Mutual Funds' by Abigail S. Hornstein & James Hounsell.

2014: Financial Management Association (FMA), Nashville, Tennessee (International). Session 279 (Institutional Trading), Saturday, October 18, 8:30 am - 10:00 am: 'In Search of Missing Risk Factors: Hedge Fund Return Replication with ETFs' by Jun Duanmu, Yongjia Li, & Alexey

Malakhov.

2013: Eastern Finance Association (EFA), St. Pete Beach, Florida (International). Session H.9 (Financial Education), Friday, April 12, 2013 2:00 - 3:30pm: 'Training Student Equity Analysts and Utilizing their Recommendations in Active Portfolio Management' by Scott Stewart.

2013: Financial Management Association (FMA), Chicago, Illinois (International). Session 300 (Individual Investors), Saturday, October 19, 10:15 am - 11:45 am: 'Are Financial Advisors Useful? Evidence from Tax-Motivated Mutual Fund Flows' by Gjergji Cici, Alexander Kempf, & Christoph Sorhage.

2012: Eastern Finance Association (EFA), Boston, Massachusetts (International). Session G.4 (Money and Capital Markets), Friday, April 13, 2012 9:45 - 11:45am: 'The Year-end Effect in Money Market Yields: Beyond One Month' by Vladimir Kotomin.

2009: Eastern Finance Association (EFA), Washington, District of Columbia (International). Session I.7 (Behavioral Finance I), Friday, May 1, 2009 3:45 - 5:15pm: 'Herding Behavior of Mutual Fund Managers in Germany' by Andreas Oehler & Stefan Wendt.

2008: Midwest Finance Association (MFA), San Antonio, Texas (National). Session 57 (Anomalies), Saturday, March 1, 2008, 10.30 am - 12.00 pm: 'Do Relative Leverage and Relative Distress Really Explain Size and BM Anomalies?' by Kuan-Cheng Ko, Pin-Huang Chou & Shin-Juh Lin and Session 91 (Monetary Policy Effects, Bank Entry and Insurance Guarantees), Thursday, February 28, 3.30 pm - 5.00 pm: 'Loss Sharing Rules for Bank Holding Companies: An Assessment of the Federal Reserve's Source of Strength Policy and the FDIC's Cross-Guarantee Authority' by Kenneth Jones and Chris Bradley.

2006: Financial Management Association (FMA), Salt Lake City, Utah (International). Session 195 (Exchange Traded Funds), Friday, October 13, 10:15 am - 11:45 am: 'The Value Of Analysts' Recommendation in the Thai Stock Market', by Ravi Lonkani, Anya Khanthavit, and Pornchai Chunnahachinda.

2006: Asian Financial Management Association, Auckland, New Zealand (International). Session 36 (Risk Issues), July 11, 8.30 am - 10.00 am: 'Asymmetric Volatility in the Foreign Exchange Markets', by Jianxin Wang and MinXian Yang. 2005: Northern Finance Association (NFA), Vancouver, Canada (International). Session (Portfolio Management 1), October 1, 2005: 'Dominating Fund of Funds with Simple Hedge Fund Strategies', by Greg G regoriou, et al.

2004: Financial Management Association (FMA), New Orleans, Louisiana (International). Session 207 (Mutual Funds), Friday, October 8, 3:45 pm - 5:15 pm: 'How Does Past Performance Affect Load Mutual Fund Investor Behavior', by David G. Shride.

2001: Financial Management Association (FMA), Toronto, Canada (International). Session 172 (Issues in Institutional Investing), Friday, October 19, 3:45 pm - 5:15 pm: 'Rationalizing Pension Investment in Equities', by Muhammed-Shahid Ebrahim, and Ike Mathur.

#### Board Member: Advisory Board

2008 – 2020: Banking and Finance Review (National). Editorial Advisory Board Member (2008 to date)

#### Chair: Conference / Track / Program

2020: Financial Management Association (FMA), New York City, New York (International). Session 454: Undergraduate Finance Education, Friday, October 23, 3.00 pm - 4.30 pm. 2018: Financial Management Association (FMA), San Diego, California (International). Session 277 (Hedge Funds): Saturday, 10:15 - 11:45

2017: Financial Management Association (FMA), Boston, Massachusetts (International). Session 049 (Mutual Fund Families & their Strategies): Thursday, 12 October 9:45 am - 11:15 am

2016: Financial Management Association (FMA), Las Vegas, Nevada (International). Session 039 (Career Concerns) Thursday, 9:45 am - 11:15 am and Session 180 (The Market for Managerial Talent): Friday, 10:15 am - 11:45 am 2015: Financial

Management Association (FMA), Orlando, Florida (International). Session 227 (Investor Behavior): Saturday, October 17, 8:30 am - 10:00 am & Session 256 (Asset Allocation): Saturday, October 17, 10:15 am - 11:45 am 2014: Eastern Finance Association (EFA), Pittsburgh, Pennsylvania (International). Session F.7 (Real Estate): Friday, April 11, 2014 8:30 - 10:00am

2014: Financial Management Association (FMA), Nashville, Tennessee (International). Session 152 (Mutual Funds and Stock Returns): Friday, October 17, 8:30 am - 10:00 am

2013: Eastern Finance Association (EFA), St. Pete Beach, Florida (International). Session F.3 (Fund Flows and Style Switching Behavior): Friday, April 12, 2013 8:30 - 10:00am & Session G.1 (Funds: Information, Style and Investor Type): Friday, April 12, 2013 10:15 - 11:45am 2013: Financial Management Association (FMA), Chicago, Illinois (International). Session 300 (Individual Investors): Saturday, October 19, 10:15 am - 11:45 am

2012: Eastern Finance Association (EFA), Boston, Massachusetts (International). Session G.4 (Money and Capital Markets), Friday, April 13, 2012 9:45 - 11:45am & Session I.12 (Mutual Funds and Performance): Friday, April 13, 2012 3:45 - 5:15pm 2009: Eastern Finance Association (EFA), Washington, District of Columbia (International). Session G.2 (Personal Financial Planning): Friday, May 1, 2009 9:45 - 11:45am

2006: Asian Financial Management Association, Auckland, New Zealand (International). Session 36 (Risk Issues): Tuesday, July 11, 8.30-10.00 am

#### Member: Committee/Task Force

2020: FMA Consortium on Asset Management, Cambridge, United Kingdom (International). Program Committee Member

2020: Financial Management Association (FMA) Annual Conference, New York City, New York (International). Program Committee Member 2019: FMA Consortium on Factor Investing, Cambridge, United Kingdom (International). Program Committee Member

2019: Eastern Finance Association (EFA) Annual Conference, Miami, Florida (International). Program Committee Member

2019: Financial Management Association (FMA) Annual Conference, New Orleans, Louisiana (International). Program Committee Member

2018: FMA Consortium on Trading Strategies and Institutional Investing, Cambridge, United Kingdom (International). Program Committee Member 2018: Eastern Finance Association (EFA) Annual Conference, Philadelphia, Pennsylvania (International). Program Committee Member

2018: Financial Management Association (FMA) Annual Conference, San Diego, California (International). Program Committee

Member 2017: Eastern Finance Association (EFA) Annual Conference, Jacksonville, Florida (International). Program Committee Member

2017: Financial Management Association (FMA) Annual Conference, Boston, Massachusetts (International). Program Committee

Member 2016: Eastern Finance Association (EFA) Annual Conference, Baltimore, Maryland (International). Program Committee



## Member

2016: Financial Management Association (FMA) Annual Conference, Las Vegas, Nevada (International). Program Committee Member  
2015: Financial Management Association (FMA) Annual Conference, Orlando, Florida (International). Program Committee Member  
2015: Eastern Finance Association (EFA) Annual Conference, New Orleans, Louisiana (International). Program Committee Member  
2014: Financial Management Association (FMA) Annual Conference, Nashville, Tennessee (International). Program Committee Member  
2014: FMA Competitive Paper Award Committee (Investment Track), Nashville, Tennessee (International). Best Paper Award Committee Member  
2014: Eastern Finance Association (EFA) Annual Conference, Pittsburgh, Pennsylvania (International). Program Committee Member  
2014: Midwest Finance Association (MFA) Annual Conference, Orlando, Florida (National). Program Committee Member  
2013: Financial Management Association (FMA) Annual Conference, Chicago, Illinois (International). Program Committee Member  
2013: Eastern Finance Association (EFA) Annual Conference, St. Pete Beach, Florida (International). Program Committee Member  
2012: Financial Management Association (FMA) Annual Conference, Atlanta, Georgia (International). Program Committee Member  
2012: Eastern Finance Association (EFA) Best Paper Award Committee, Boston, Massachusetts (International). Best Paper Award Committee Member  
2012: Eastern Finance Association (EFA) Annual Conference, Boston, Massachusetts (International). Program Committee Member  
2012: Midwest Finance Association (MFA) Annual Conference, New Orleans, Louisiana (National). Program Committee Member  
2012: Southern Finance Association (SFA) Annual Conference, Charleston, South Carolina (National). Program Committee Member  
2011: Financial Management Association (FMA) Annual Conference, Denver, Colorado (International). Program Committee Member  
2011: Eastern Finance Association (EFA) Annual Conference, Savannah, Georgia (International). Program Committee Member  
2011: Midwest Finance Association (MFA), Chicago, Illinois (National). Program Committee Member  
2010: Financial Management Association (FMA) Annual Conference, New York City, New York (International). Program Committee Member  
2010: Eastern Finance Association (EFA) Annual Conference, Miami Beach, Florida (International). Program Committee Member  
2010: Midwest Finance Association (MFA) Annual Conference, Las Vegas, Nevada (National). Program Committee Member  
2009: Financial Management Association (FMA) Annual Conference, Reno, Nevada (International). Program Committee Member  
2009: Midwest Finance Association (MFA) Annual Conference, Chicago, Illinois (National). Program Committee Member  
2008: Financial Management Association (FMA) Annual Conference, Grapevine, Texas (International). Program Committee Member  
2008: Midwest Finance Association (MFA) Annual Conference, San Antonio, Texas (National). Program Committee Member  
2007: Academy of Financial Services (AFS) Annual Conference, Orlando, Florida (National). Program Committee Member  
2006: Midwest Finance Association (MFA) Annual Conference, Chicago, Illinois (National). Program Committee Member  
2006: Southern Finance Association (SFA) Annual Conference, Destin, Florida (National). Program Committee Member  
2006: Academy of Financial Services (AFS) Annual Conference, Salt Lake City, Utah (National). Program Committee Member  
2006: Eastern Finance Association (EFA) Annual Conference, Philadelphia, Pennsylvania (International). Program Committee Member  
2005: Midwest Finance Association (MFA) Annual Conference, Milwaukee, Wisconsin (National). Program Committee Member  
2003: Academy of Financial Services (AFS) Annual Conference, Denver, Colorado (National). Program Committee Member

## Presentation

2019: St. Ambrose University, Davenport, Iowa (Local). 2017: St. Ambrose University, Davenport, Iowa (Local).  
2011 – 2012: St. Ambrose University, Davenport, Iowa (Local). 2011: Otterbein University, Westerville, Ohio (Local).  
2011: University of the Pacific, Stockton, California (Local). 2010: Ohio Northern University, Ada, Ohio (Local).  
2010: University of Wisconsin – Whitewater, Whitewater, Wisconsin (Local). 2009: Weber State University, Ogden, Utah (Local).  
2007 – 2009: SUNY Polytechnic Institute, Utica, New York (Local). 2007: Utah Valley University, Provo, Utah (Local).  
2007: University of Alaska, Anchorage, Anchorage, Alaska (Local). 2007: Missouri Western State University, St Joseph, Missouri (Local).  
2006: Auckland University of Technology, Auckland, New Zealand (Local). 2003: Berry College, Mt Berry, Georgia (Local).

## Reviewer: Ad Hoc Reviewer for a Journal

2020: Journal of Financial Economic Policy (International).  
2020: International Review of Economics & Finance (International). 2019 – 2020: Review of Accounting & Finance (International).  
2016: Studies in Economics and Finance (International).  
2016: Quarterly Review of Economics and Finance (International). 2014: Studies in Economics and Finance (International).  
2011 – 2014: Quarterly Review of Economics and Finance (International). 2011 – 2013: Journal of Financial Economic Policy (International).  
2011: Managerial Finance (International). 2009: Banking and Finance Review (National).

## Reviewer: Book / Textbook

2020: Actuaries' Survivor Guides: How to Succeed in One of the Most Desirable Professions by Fred Szabo, Publisher: Academic Press (Elsevier), April 2020. (International).

2019: New Principles of Equity Investment by Les Coleman, Publisher: Emerald Publishing, Fall 2018. (International).  
2016: Flipped Finance – An introductory undergraduate Corporate Finance course by Jon Garfinkel (University of Iowa) for flipped classroom teaching and digital learning model, Potential Publisher: Pearson, Summer 2016. (International). 2014: Financial Management: Theory & Practice, 14th edition (by Eugene Brigham & Michael Ehrhardt), Publisher: Cengage Learning, (International).  
2014: Corporate Finance: A Focused Approach, 5th edition (by Michael Ehrhardt & Eugene Brigham), Publisher: Cengage Learning. (International).

#### Reviewer: Conference Paper

2020: FMA Consortium on Asset Management (International).  
2008 – 2020: Financial Management Association (FMA) (International). 2019: FMA Consortium on Factor Investing (International).  
2010 – 2019: Eastern Finance Association (EFA) (International).  
2018: FMA Consortium on Trading Strategies and Institutional Investing (International). 2014: Midwest Finance Association (MFA) (National).  
2012: Southern Finance Association (SFA) (National).  
2008 – 2012: Midwest Finance Association (MFA) (National). 2008: Eastern Finance Association (EFA) (International).  
2006 – 2007: Academy of Financial Services (AFS) (National). 2006: Southern Finance Association (SFA) (National).  
2005 – 2006: Midwest Finance Association (MFA) (National). 2003: Academy of Financial Services (AFS) (National).  
Service to the Community

#### Other Community Service Activities

2017: Visited Chicago Board Options Exchange (CBOE) with SAU students (Finance Club members) to show them the real-time open-outcry trading of derivatives securities 2015: Visited Chicago Board Options Exchange (CBOE) with SAU students (Finance Club members) to show them the trading pit  
2013: Attended American Business Experience (ABE) Program as a resource person and delivered lecture on the US Financial Institutions 2012: American Business Experience (ABE) Program: Resource person on the US Financial Institutions

#### Professional Development

##### Instructional-Related Conference

2017: Attended a focus group meeting on "The Future of Assessment in the Finance Course" at the 2017 FMA Conference and hosted by McGraw-Hill Education. 2010: Attended 'Redefining Investment Strategy Education' (RISE), an Investment Forum Conference, University of Dayton.  
2008: Attended Baruch Financial Markets Conference on 'Volatility', Baruch College.  
2007: Attended Equity Market Microstructure Workshop on 'Teaching Microstructure and Using Trading Floors in MBA Programs', Baruch College.

#### Other Professional Development

2020: Completed the Collaborative Institutional Training Initiative (CITI Program) on Human Subjects Research (certificate record ID 35642877 and expires on March 01, 2025, St. Ambrose University IRB Program).. 2019: Online teaching workshop on "Academic Integrity", organized by the Learning House (<https://www.learninghouse.com>) at St. Ambrose University.  
2019: Attended COIL (Collaborative Online International Learning) Seminar, Organized by the College of Business, St. Ambrose University).  
2019: Online teaching workshop on "Accessibility and Universal Design for Learning", organized by the Learning House (<https://www.learninghouse.com>) at St. Ambrose University. 2018: Assessment Workshop: "Part 3 - Curriculum mapping" Organized by SAU's Assessment Office.  
2018: Participated in webinar on "Including Excel in your Finance Course Simulations" presented by Dave O'Donnell and hosted by McGraw-Hill Education. 2017: Attended the TLH (The Learning House) initial open meeting/workshop with SAU faculty.  
2017: Participated in webinar on "FinEduTech: Teaching Finance with Digital Learning Resources" presented by William McNally and hosted by Pearson Publisher. 2017: Attended the "SAU/TLH (The Learning House) Course Mapping Workshop" hosted by St. Ambrose University.  
2015: Transitioning to Online – Fears, concerns and opportunities & Technology workshop – Recommended practices for online and in the classroom: By Tawnya Means, Organized by COB, St. Ambrose University. 2014: Assessment Workshop: "Part 3 - Curriculum mapping", Organized by SAU Assessment Office.  
2014: Assessment Workshop: "Part 4 - Reporting and using results", Organized by SAU Assessment Office.  
2014: Attended a Teaching workshop on 'Flipping the Classroom', organized by the Center for Teaching Excellent, St. Ambrose University. 2013 – 2014: Participated in COB Teaching Forum at the College of Business, St. Ambrose University. 2013: o Attended 'The 5% Rule', a teaching workshop organized by the Center for Teaching Excellence, St. Ambrose University.  
2013: Participated in the 'Financial Fitness Challenge Program' and completed all of the 9 sessions, organized by the Office of Human Resources, St. Ambrose University. 2013: Attended the Research Methods Group' of College of Business, St. Ambrose University.  
2013: Teaching workshop on 'How to Internationalize your Classroom', organized by the Center for Teaching Excellent, St. Ambrose University. 2013: Assessment Workshop: "Part 2 - How to Maintain an Effective, Efficient Assessment System", Organized by SAU Assessment Office.  
2012: Attended a workshop on "How to Connect Work-place and Academic Learning into a more Seamless Whole: Becoming a Master Learner", organized by the College of Business, St. Ambrose University. 2012: Attended a teaching workshop on 'Guiding Student Success: A Diagnostic Checklist', organized by the Center for Teaching Excellent, St. Ambrose University.

2012: Attended the NAFSA Webinar on 'Today's Chinese Student: What Really Matters' sponsored by the Center for International Education of St. Ambrose University.

2012: Attended the 'Sizzlin's Summer Send-off', a possible internship program for St. Ambrose University's students, organized by Northwestern Mutual, St. Ambrose University.

2012: Attended the 'Academic Advising to First-Year and Transfer Students' Training for Faculty, organized by the Dean of Student Academic Services & Community College Relations, St. Ambrose University. 2012: Participated in the 'Advising First-Year Undecided Students: Research, Practice & Policy' workshop, Organized by the Office of Academic Advising, St. Ambrose University.

2011: Attended the Research Methods Group' of College of Business, St. Ambrose University.

2010: Attended faculty workshop on 'Quality Matters' to enhance both face-to-face and online teaching and learning, The Centre for Excellence in Teaching and Learning (CETL), SUNY Polytechnic Institute. 2009: Attended a workshop on 'IDEA day at SUNYIT: How to Improve Teaching Evaluations', SUNY Polytechnic Institute.

2009: Attended 'Third Annual 24th Congressional District Grant Training Workshop', Radisson Hotel,. 2008: Attended Faculty Workshop on 'Engaging in Research', SUNY Polytechnic Institute.

2008: Attended a workshop on 'Faculty Self-Assessment: Preparing for Online Teaching', SUNY Polytechnic Institute.

2008: Attended a workshop on 'I have a Tablet PC, Now What?', Centre for Excellence in Teaching and Learning (CETL), SUNY Polytechnic Institute. 2007: Attended a Faculty workshop on 'Why Care About Assessment?', SUNY Polytechnic Institute.

#### Professional Internships

1995: Six-week training on "Foundation Course on Banking" at Bangladesh Institute of Bank Management (BIBM).

#### Technology-Related Training

2019: Training on 'Mediasite Lecture Capture', Organized by the CIDT (and hosted by Patrick Wiatt), St. Ambrose University.

2019: Training on 'WebEx Meetings: Getting Started', Organized by the CIDT (and hosted by Garrett Kline), St. Ambrose University. 2016: Training on S&P Capital IQ database (<https://www.capitaliq.com>), St. Ambrose University.

2016: Training on Financial Training System (FTS) database (<http://ftsweb.com/>), St. Ambrose University. 2016: Training on Blackboard Collaborate Ultra by Donnie Ingram, St. Ambrose University.

2014: Training on Microsoft Office 2013 offered by IT Department, St. Ambrose University. 2011: Attended several training sessions & webinar on Blackboard, St. Ambrose University. 2011: Participated in Faculty training seminar on Online teaching, St. Ambrose University.

2011: Attended Online Teaching User's Group workshop, Organized by the Distance Education Committee, St. Ambrose University.

2009: Attended workshops on 'Revising and Improving your Online Course' and 'Angel Grade Book Training for MBA Faculty', SUNY Polytechnic Institute.

2009: Attended SUNY FACT – COCID conference on 'Integrating the virtual world: creating an intersection with the virtual and real to enhance learning', SUNY Polytechnic Institute. 2009: Participated in Standard and Poor's training on Compustat Database, School of Business, SUNY Polytechnic Institute.

2008: Attended workshops on 'Authentic Online Assessment', 'Integrating Interaction in your Online Course', 'ANGEL Grade Book Training' and 'What's New in Angel 7.3', SUNY Polytechnic Institute. 2008: Training on 'Thomson Reuter Baseline' at SUNY Polytechnic Institute.

2007: Training on 'Stockval (Security Analysis and Modeling) and Stockval Connect' at SUNY Polytechnic Institute. 2007: Attended a training sessions/workshops on 'An Overview of ANGEL', SUNY Polytechnic Institute.

#### Honors/Awards

##### Award

2020: Nominated for the COB Service Award, St. Ambrose University.

2014: Emerald Literati Network 2014 Awards for Excellence: Outstanding Reviewer Award from the Journal of Financial Economic Policy, Emerald Publisher.

#### Scholarship

2012 – 2020: Faculty Development Committee (FDC) Grant, St. Ambrose University. 2008: Summer Research Grant, SUNY Institute of Technology.

2005: Summer Research Grant, Auckland University of Technology.

1998 – 2001: Graduate Research/Teaching Assistantship, University of New Orleans. 1991 – 1994: Undergraduate Scholarship, University of Dhaka.

#### References

Arthur F. Moreau Professor & Chair Department of Finance	Edward M. Miller Ph.D. (Dissertation Advisor) Research Professor of Economics and Finance	Stephen Havlovic, Ph.D. Professor (former Dean), Faculty of Management Laurentian University	Oscar Varela, Ph.D., CFA Charles R. And Dorothy S. Carter Chair in Business Administration and Professor of Finance Department of Economics and Finance	Larry J. Prather, Ph.D John Massey Endowed Chair in Finance (Retired) School of Business Southeastern Oklahoma State University
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